

Subject: Guernsey fines - 21st September 2023

Guernsey Fines	Civil Fine Total	Corporate Fine Total
	£1,689,235.00	£1,807,500.00

Year	Who	Type	Amount	Notes
2023	Mr Christopher Henry Shaw	civil	100000	
	Mr John Adam Robilliard	civil	40000	
	Mr Bruce David McNaught	civil	15000	
	Mr Trevor James Kelham and	Civil	45000	
	Ms Sarah Jayne Sarre	Civil	13500	
2022	Crescendo Advisors International Limited,	Corporate	203000	
	Mr Hamish Jebb Hamilton Few	Civil	33810	
2021	Hansard Limited,	Corporate	140000	
	Andrew Neil Parr,	Civil	56700	
	Alan Peter Northmore,	Civil	56700	
	Philip Clive Blows,	Civil	56700	
	David Samuel Lloyd,	Civil	44100	
	Lynn Giovinazzi	Civil	44100	
2021	Standard Chartered Trust (Guernsey) Limited	Corporate	140000	
2020	Safehaven International Limited,	Corporate	100000	
	Mr Richard John Bach,	Civil	50000	
	Miss Tracey Jane Ozanne,	Civil	10000	
	Mr David Charles Housley Whitworth,	Civil	10000	
	Mr Michael John Good and	Civil	1000	
	Mr Stephen John Dickinson	Civil	5000	

2020	Mr Stephen Bougourd	Civil	7000	
2020	Global Insurance Group Limited,	Corporate	42000	
	Christopher Schofield,	Civil	17500	
	Andrew William Robert	Civil	10500	
2020	Shane Younger and	Civil	48650	
	Christopher Percival	Civil	30100	
2020	Stephen Paul Dewsnip	Civil	7000	
2020	Criteria Wealth Management Limited,	Corporate	50000	
	Mark Peter Penney,	Civil	40000	
	Marc Adam Roxby	Civil	20000	
2020	Louvre Fund Services Limited,	Corporate	77000	
	Kevin Gilligan,	Civil	52500	
	Charles Peter Gervais Tracy,	Civil	31500	
	Derek Paul Baudains,	Civil	28000	
	Julian Dai Lane	Civil	14000	
2019	Certes Capital Limited	Corporate	30000	
2019	Louvre Trust (Guernsey) Limited,	Corporate	70000	
	Derek Paul Baudains,	Civil	8400	
	Jonathan Ross Bachelet,	Civil	5600	
	Haidee Louise Stephens,	Civil	8400	
	Julian Dai Lane,	Civil	7000	
	Charles Peter Gervais Tracy	Civil	5600	
2019	Vida Financial Services Limited,	Corporate	30000	
	Jonathan James Wilson	Civil	20000	
2018	Mr Alan Michael Chick	Civil	50000	

2018	Richmond Fiduciary Group Limited	Corporate	45500	
2017	Blenheim Fiduciary Group Limited	Corporate	70000	
2017	Capital Solutions Limited,	Corporate	Insolvent - only reason no fine	
	Stillwater Worldwide Limited,	Corporate	Insolvent - only reason no fine	
	Stillwater Investment Enterprise Limited,	Corporate	Insolvent - only reason no fine	
	Philip Anthony John Montague,	Civil	35000	
	Terence Joseph Scullion,	Civil	21000	
	David John de Carteret	Civil	10500	
2016	Marlborough Trust Company Limited,	Corporate	100000	
	Marlborough Nominees Limited,	Corporate	No Fine	
	Mr Nicholas Robert Hannah,	Civil	35000	
	Mr Adrian Bradley Howe,	Civil	35000	
	Mr David Charles Enevoldsen,	Civil	25000	
	Mr Benjamin John Tustin	Civil	10000	
2016	Louvre Fund Services Limited,	Corporate	42000	
	Kevin Paul Gilligan,	Civil	24500	
	Charles Peter Gervais Tracy	Civil	10500	
2016	Bordeaux Services (Guernsey) Limited,	Corporate	150000	

	Peter Gordon Radford,	Civil	50000	
	Neal Anthony Meader,	Civil	30000	
	Geoffrey Robert Tostevin	Civil	30000	
2016	Guernsey Insurance Brokers Ltd,	Corporate	8000	
	Mr Richard Julian Wickins and	Civil	8000	
	Mr David John Merrien	Civil	0	Amended
2016	Mr Martyn Paul Gordon	Civil	45000	
2016	Provident Trustees (Guernsey) Limited	Civil	42000	
	Mr William Hunter	Civil	18375	
	Mr Andrew King	Civil	10500	
	Mrs Lesley Chapman	Civil	10500	
2015	Mr Rudiger Michael Falla,	Civil	50000	
	Mr Richard Garrod,	Civil	50000	
	Mr Leslie Hilton,	Civil	50000	
	Mr Geoffrey Robert Le Page,	Civil	50000	
	Mr Kenneth Richard Leslie Forman	Civil	10000	
2015	Guernsey Financial Consultants Limited	Corporate	42000	Reduced by independent review cost (30k max)
2015	Woodlock Financial Services (1998) Limited	Corporate	28000	
2014	Ahli United Bank (UK) PLC, Guernsey Branch	Corporate	70000	
2014	The Channel Islands Stock Exchange	Corporate	190000	
2014	Willow Trust Limited	Corporate	30000	

2013	Generali Worldwide Insurance Company Limited	Corporate	150000	
2010	Directors of Kingston Management (Guernsey) Limited	Corporate	No Fine	
	Mr X	Civil	14000	
	Mr Y	Civil	14000	
	Mr Z	Civil	7000	

COMSURE GFSC ANALYSIS